PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651/0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Paperwork Reduction Act of 1995, no persons are required to respond to a Application Number 10/711,756 TRANSMITTAL Filing Date 10/1/2004 **FORM** First Named Inventor DeFazio Art Unit (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number SunReader Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Individual name Signature Date Glenn L. Webb

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$)       | 65 |
|------------|----|
| \ <b>\</b> | •• |

| Complete if Known    |            |  |  |
|----------------------|------------|--|--|
| Application Number   | 10/711,756 |  |  |
| Filing Date          | 10/01/2004 |  |  |
| First Named Inventor | De Fazio   |  |  |
| Examiner Name        |            |  |  |
| A t Unit             |            |  |  |
| Attorney Docket No.  | Sunreader  |  |  |

| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continued)   |       |        |             |  |                |
|---|---|-------|--------|-------------|--|----------------|
| Check Credit card Money Other None  | ne 3. ADDITIONAL FEES   |       |        |             |  |                |
| Deposit Account:  | Large Entity   Small Entity   |       |        |             |  |                |
| Deposit Account.  | Fee Fe<br>Code (S)  |       | ee l   | Fee<br>(\$) | Fee Description  | Coo Boid       |
| Account<br>Number   | 1051 13   |       | 2051   | • •         | Surcharge - late filing fee or oath  | Fee Paid<br>65 |
| Deposit   | 1052 5  | 50 2  | 2052   | 25          | Surcharge - late provisional filing fee or                                 |                |
| Account Name  | 1053 13   | ۱ ۵   | 053    | 130         | cover sheet Non-English specification                                      |                |
| The Director is authorized to: (check all that apply)   | 1812 2.52   |       | 812 2  |             | For filing a request for ex parte reexamination                            |                |
| Charge fee(s) indicated below Credit any overpayments   | ,   |       | 1804   | ,,          | Requesting publication of SIR prior to                                     |                |
| Charge any additional fee(s) or any underpayment of fee(s)  |   |       |        |             | Examiner action  |                |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 1805 1,84   | 40* 1 | 1805 1 | 1,840*      | Requesting publication of SIR after<br>Examiner action                     |                |
| FEE CALCULATION   | 1251 1  | 10 3  | 2251   | 55          | Extension for reply within first month                                     |                |
| 1. BASIC FILING FEE   | 1252 43   | 30 2  | 2252   | 215         | Extension for reply within second month                                    |                |
| Large Entity Small Entity   | 1253 98   | 80 :  | 2253   | 490         | Extension for reply within third month                                     |                |
| Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)                                      | 1254 1,53   | 30 :  | 2254   | 765         | Extension for reply within fourth month                                    |                |
| 1001 790 2001 395 Utility filing fee  | 1255 2,08   | 80    | 2255   | 1,040       | Extension for reply within fifth month                                     |                |
| 1002 350 2002 175 Design filing fee   | 1401 34   | 40    | 2401   | 170         | Notice of Appeal   |                |
| 1003 550 2003 275 Plant filing fee  | 1402 34   | 40    | 2402   | 170         | Filing a brief in support of an appeal                                     |                |
| 1004 790 2004 395 Reissue filing fee  | 1403 30   | 00    | 2403   | 150         | Request for oral hearing   |                |
| 1005 160 2005 80 Provisional filing fee   | 1451 1,51   | 10    | 1451   | 1,510       | Petition to institute a public use proceeding                              |                |
| SUBTOTAL (1) (\$)   | 1452 11   | 10    | 2452   | 55          | Petition to revive - unavoidable   |                |
|   | 1453 1,37   | 70 2  | 2453   | 685         | Petition to revive - unintentional   |                |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from  | 1 <b>501 1</b> ,37  | 70    | 2501   | 685         | Utility issue fee (or reissue)   |                |
| Extra Claims below Fee Paid   | 1502 49   | 90    | 2502   | 245         | Design issue fee   |                |
| Total Claims 20** = X =   | 1503 66   | 60    | 2503   |             | Plant issue fee  |                |
| Claims Multiple Dependent   | 1460 13   | 30    | 1460   | 130         | Petitions to the Commissioner  |                |
| <u> </u>  | 1807  | 50    | 1807   | 50          | Processing fee under 37 CFR 1.17(q)  |                |
| Large Entity   Small Entity Fee Fee   Fee Fee Fee Description                                     | 1806 18   | 80    | 1806   |             | Submission of Information Disclosure Stmt                                  |                |
| Code (\$) Code (\$)   | 8021  | 40    | 8021   | 40          | Recording each patent assignment per property (times number of properties) |                |
| 1202 18 2202 9 Claims in excess of 20   | 1809 79   | 90    | 2809   | 395         | Filing a submission after final rejection                                  |                |
| 1201 88 2201 44 Independent claims in excess of 3   | 1045 -  |       | 0045   |             | (37 CFR 1.129(a))  |                |
| 1203 300 2203 150 Multiple dependent claim, if not paid   | 1810 79   | 90    | 2810   | 395         | For each additional invention to be examined (37 CFR 1.129(b))             |                |
| 1204 88 2204 44 ** Reissue independent claims over original patent                                | 1801 79   | 90 :  | 2801   | 395         | Request for Continued Examination (RCE)                                    |                |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent                         | 1802 9  | 000   | 1802   | 900         | Request for expedited examination of a design application                  |                |
| SUBTOTAL (2)  | Other fee (specify)   |       |        |             |  |                |
| **or number previously paid, if greater, For Reissues, see above                                  | SUBTOTAL (2)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3) (\$) 65 |       |        |             |  |                |

| SUBMITTED BY      |              |        |                                   |       | (Complete | if applicable)) |
|-------------------|--------------|--------|-----------------------------------|-------|-----------|-----------------|
| Name (Print/Type) | Glenn L Webb | .00    | Registration No. (Attorney/Agent) | 32668 | Telephone | 303 816 4893    |
| Signature         | Slem         | fairly |                                   |       | Date      | 11/14/04        |

WARNING: Information on this form may become public. Credit card information should hot be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



### United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/711,756 10/01/2004 Jeff DeFazio Sunreader

23217 GLENN L. WEBB P.O BOX 951 CONIFER, CO 80433 CONFIRMATION NO. 5755
FORMALITIES LETTER
IMPROPRIEM TO THE PROPERTY OF THE PRO

Date Mailed: 10/27/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

11/22/2004 RBIZUNES 00000053 10711758

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